tropical linear spaces, part 1: constant coefficients

tropical linear spaces, part 2: arbitrary coefficients



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# linearity in the tropics

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tropical linear spaces, part 1: constant coefficients

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### outline

tropical geometry tropicalisation examples of tropical varieties

tropical linear spaces, part 1: constant coefficients linear spaces and matroids matroid theory the main theorem

tropical linear spaces, part 2: arbitrary coefficients from constant coefficients to arbitrary coefficients the combinatorics of matroid subdivisions

**Summary.** Tropical varieties are not simple objects; even tropical linear spaces have a very rich and interesting combinatorial structure which we only partially understand.

# Tropical geometry: a general philosophy

*Tropicalisation* is a very useful general technique:

algebraic variety  $\mapsto$  tropical variety  $V \mapsto$  Trop(V).

Idea: Obtain information about V from Trop(V).

o Trop(V) is simpler, but contains some information about V. o Trop(V) is a polyhedral complex, where we can do combinatorics.

Similar to : toric variety  $\mapsto$  polyhedral fan

## Tropicalisation.

The field  $K = \mathbb{C}\{\{t\}\}$  of Puiseux series:

 $f(t) = \alpha_1 t^{r_1} + \alpha_2 t^{r_2} + \cdots, \qquad \alpha_i \in \mathbb{C}, \{r_1 < r_2 < \cdots\} \subset \mathbb{Q}.$ 

has valuation deg :  $\mathcal{K} \to \mathbb{R} \cup \{\infty\} =: \overline{\mathbb{R}}$  where deg $(f) = r_1$ .

**Tropicalising points**: deg :  $\mathcal{K}^n \to \overline{\mathbb{R}}^n$   $\mathcal{A} = (\mathcal{A}_1, \dots, \mathcal{A}_n) \mapsto \mathcal{a} = (\deg \mathcal{A}_1, \dots, \deg \mathcal{A}_n)$  $(t^2 + 3t^3 + t^4 + \dots, t^{1.5} + 2t^2) \mapsto (2, 1.5)$ 

**Tropicalising polynomials**: Trop :  $K[X_1, .., X_n] \rightarrow \{f : \mathbb{R}^n \rightarrow \mathbb{R}\}$  $A \mapsto \deg A \qquad X + Y \mapsto \min(x, y) \qquad X \cdot Y \mapsto x + y$  $(t^{1.5} + t^3)X^2 + 2YZ \mapsto \min(1.5 + 2x, y + z)$ 

# Fundamental Theorem of Tropical Geometry.

**Theorem/Defn.** (Einsiedler-Lind-Kapranov, Speyer-Sturmfels) Let *I* be an ideal in  $K[X_1^{\pm 1}, \ldots, X_n^{\pm 1}]$  and let

$$V = V(I) = \{A \in (K^*)^n | F(A) = 0 \text{ for } F \in I\}$$

The tropical variety Trop(V) is

Trop(V) := 
$$\{a \in \overline{\mathbb{R}}^n | (\operatorname{Trop} F)(a) \text{ is achieved twice for } F \in I\}$$
  
=  $\operatorname{cl}(\operatorname{deg} A | A \in V)$ 

Informally,

$$Trop(V) :=$$
 Solutions of tropical equations  
= cl (Tropicalisation of the solutions).

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Trop(V) := Solutions of tropical equations = cl (Tropicalisation of the solutions).

**Ex.** 
$$V = \{(X, Y, Z) \in (K^*)^3 | (t^{-3} + 2)X + (t + 5t^{1.5})Y + Z = 0\}$$

1. Tropicalise equations:

Trop 
$$V = \{(x, y, z) \in \mathbb{R}^3 | \min(x - 3, y + 1, z) \text{ att. twice} \}.$$

2. Tropicalise solutions:

 $Trop(V) = cl \{ (deg X, deg Y, deg Z) | (X, Y, Z) \in V \}$ 

 $(2 \subseteq 1)$ : Exercise.  $(1 \subseteq 2)$ : Harder.

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### Tropicalisation:

algebraic variety  $\mapsto$  tropical variety  $V \mapsto$  Trop(V).

To apply this technique, we ask two questions:

1. What does Trop(V) know about V?

Find the right questions in alg. geom. to "tropicalise".

- Gromov-Witten invariants  $N_{q,d}^{\mathbb{C}}$  of  $\mathbb{CP}^2$  (Mikhalkin)
- Double Hurwitz numbers. (Čavalieri-Johnson-Markwig)
- 2. What do we know about Trop(V)? Not very much!
- (*V* irred.) Pure, connected in codimension 1. (Bieri-Groves).
- (V Schön) Links have only top homology. (Hacking)

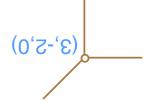
Tropical varieties are 'simpler', not 'simple'. Study them!

# Examples of tropical varieties

**Example 1.** Tropical hyperplanes in  $\mathbb{TP}^{n-1}$ .

 $A_1X_1 + \ldots + A_nX_n = 0 \mapsto \min(x_1 + a_1, \ldots, x_n + a_n)$  ach. twice

 $\mathbb{TP}^2$ : min(x - 3, y + 2, z) twice  $\mathbb{TP}^3$ : min $(x_1, x_2, x_3, x_4)$  twice



Tropical projective plane  $\mathbb{TP}^2$ :  $(a, b, c) \sim (a - c, b - c, 0)$ 



Polar fan of the simplex centered at  $-(a_1, \ldots, a_n)$ .

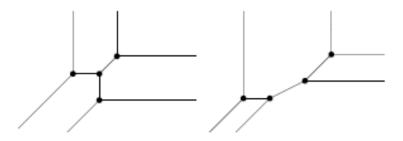
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#### **Example 2.** Tropical conics in $\mathbb{TP}^2$ :

 $AX^2 + BY^2 + CZ^2 + DXY + EXZ + FYZ = 0 \mapsto$ min $(a + 2x, b + 2y, \dots, e + x + z, f + y + z)$  achieved twice.

Two tropical conics:



In principle, could have up to  $\binom{6}{2} = 15$  edges. In fact, they all have 4 vertices and 9 edges (3 bounded).

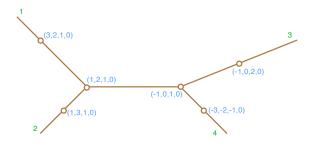
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#### **Example 3.** A tropical line in $\mathbb{TP}^3$ .

$$L = \text{rowspace} \left[ \begin{array}{ccc} 1 & t & t^2 & t^3 \\ t^3 & t^2 & t & 1 \end{array} \right]$$

Trop *L*: The following are attained twice:  $min(x_1 + 2, x_2 + 1, x_3 + 2), min(x_1 + 1, x_2, x_4 + 2),$  $min(x_1 + 2, x_3, x_4 + 1), min(x_2 + 2, x_3 + 1, x_4 + 2)$ 

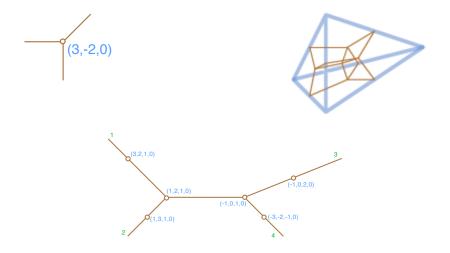


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#### The goal of this talk:

To summarize what we know about tropical linear spaces.



tropical linear spaces, part 2: arbitrary coefficients

# Tropical linear spaces, part 1: constant coefficients.

**Goal.** If V is a linear subspace, describe Trop V.

(Part 1: Assume that all coefficients are in  $\mathbb{C}$ .)

 $w \in \operatorname{Trop} V \iff$  for each circuit (equation)  $a_1 X_{i_1} + \cdots + a_k X_{i_k} = 0$ of V, min $(w_{i_1}, \ldots, w_{i_k})$  is achieved twice.

**Example.** 
$$L = \text{rowspace} \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 2 & 0 \end{bmatrix}$$
.

 $X_1 - X_2 + X_3 = 0$ ,  $X_4 = 2X_3$  Circuits: 123, 34, 124.

Trop *L*: min( $w_1$ ,  $w_2$ ,  $w_3$ ), min( $w_1$ ,  $w_2$ ,  $w_4$ ), min( $w_3$ ,  $w_4$ ) att. twice.

00000	tropical line						0000
L = rows	pace	0 1 0	0 1 1	0 0 1	0 0 2	1 <sup>-</sup> 0 0	. Circuits: 123, 34, 124.

Trop *L*: min( $w_1$ ,  $w_2$ ,  $w_3$ ), min( $w_1$ ,  $w_2$ ,  $w_4$ ), min( $w_3$ ,  $w_4$ ) att. twice.

 $w_1 = w_2 < w_5 = w_3 = w_4 \text{ ok}$   $w_1 = w_3 = w_5 < w_s = w_4 \text{ no}$ 

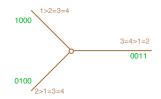
Note.

- w<sub>5</sub> is irrelevant.
- Order of  $w_1, w_2, w_3, w_4$  is either

• 
$$W_1 > W_2 = W_3 = W_4$$
,

•  $W_2 > W_1 = W_3 = W_4$ , or

• 
$$W_3 = W_4 > W_1 = W_2$$
.



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### So Trop V only depends on the matroid (set of circuits) of V.

For any matroid M (set of circuits) we define

Trop  $M := \{ w \in \overline{\mathbb{R}}^E \mid \min_{c \in C} w_c \text{ is achieved twice for all circuits } C. \}$ 

(sometimes called the Bergman fan of M.)

This calls for a crash course in matroid theory.

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# Matroid theory, v1: circuits.

Matroid theory: An abstract theory of independence.

(Instances: linear, algebraic, graph independence.)

The key properties of (minimal) dependence:

A matroid *M* on a finite ground set *E* is a collection *C* of circuits (subsets of *E*) such that: C0.  $\emptyset$  is not a circuit. C1. No circuit properly contains another. C2. If  $C_1$  and  $C_2$  are circuits and  $x \in C_1 \cap C_2$ , then  $C_1 \cup C_2 - x$  contains a circuit.

Ex: The matroid of a vector space / config. L = row(E)

(circuits)  $\leftrightarrow$  (minl eqns. of *L*)  $\leftrightarrow$  (minl linear deps on cols of *E*)

tropical linear spaces, part 1: constant coefficients

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### Why matroids?

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- They are general, applicable, and well-developed. Example: Every matroid has a well-defined rank function.
  - Dimension of vector spaces
  - Transcendence degree of a field extension
  - The spanning trees of a graph have the same size.
- Many different (but equivalent) points of view.
  - Matroid polytopes. We need it.
  - Lattice of flats. We need it.
  - Optimization (greedy algorithms). We need it.
- (Our main reason today.) Loosely speaking:

algebraic geometry  $\mapsto$  tropical geometry specialises to linear algebra  $\mapsto$  matroid theory.

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# Matroid theory, v2: lattices of flats.

E: set of vectors

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- flat: (the vectors of E in) span(A) for  $A \subseteq E$ .
- lattice of flats  $L_M$ : the poset of flats ordered by containment.
- order complex  $\Delta(\overline{L}_M)$ : the simplicial complex of chains of  $\overline{L}_M$ .

(vertices = flats, faces = flags;  $\overline{L}_M = L_M - \{\widehat{0}, \widehat{1}\}$ ).

- $L = \text{rowspace} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 2 & 0 \\ 0 & 1 & 1 & 2 & 0 \end{bmatrix}, C = \{123, 124, 34\}.$
- Flats:  $\mathcal{F} = \{ \emptyset, 1, 2, 34, 5, 1234, 15, 25, 345, 12345 \}.$

**Theorem.** (Björner, 1980)  $\Delta(\overline{L}_M)$  is a pure, shellable simplicial complex. It has the homotopy type of a wedge of  $|\mu(L_M)|$  (r-2)-dimensional spheres.

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### The main theorem.

### Let $\text{Trop}'M = \text{Trop}M \cap (\text{unit sphere})$ .

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**Theorem.** (.f. - Klivans) Trop'(M) " = "  $\Delta(\overline{L}_M)$ .

More precisely,  $\Delta(\overline{L}_M)$  is a subdivision of Trop'(*M*).

**Corollary.** (.f. - Klivans) In constant coefficients, tropical linear spaces are cones over wedges of (r - 2)-spheres. The number of spheres is computable combinatorially.

Key observation:

 $w_{a_1} = \cdots = w_{a_k} > w_{b_1} = \cdots = w_{b_l} > \cdots$  is in Trop(*M*) if and only if  $A, A \cup B, A \cup B \cup C, \ldots$  are flats of *M*.

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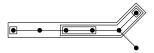
# Some interesting special cases.

- **1.**  $A_{n-1} = \{e_i e_j \mid 1 \le i < j \le n\}$
- Trop  $A_{n-1}$  is the space of phylogenetic trees  $T_n$ . (.f. Klivans) ( $T_n$  also appears naturally in homotopy theory and in  $\overline{M}_{0,n}$ .)
- $T_n$  has homotopy type  $\bigvee_{(n-1)!} S^{n-3}$ . (Vogtmann)
- (Chepoi-F. tree reconstruction alg.) = (tropical projection) (.f.)

### 2. $\Phi$ = root system of a finite Coxeter system (W, S)

• Trop'  $\Phi$  = (nested set complex of  $\Phi$ ), which encodes De Concini and Procesi's "wonderful compactification" of  $\mathbb{C}^n - \mathcal{A}_{\Phi}$ .

• Trop  $\Phi$  can be described combinatorially as a space of "phylogenetic trees of type W", which come from tubings of the Dynkin diagram. (.f. - Reiner - Williams)



## Matroid theory, v3: matroid polytopes

A basis of *M* is a maxl. indept. set. The matroid polytope is

 $P_M = \operatorname{conv}(e_{b_1} + \dots + e_{b_r} | \{b_1, \dots, b_r\} \text{ is a basis.})$ 

$$L = \text{rowspace} \begin{bmatrix} 0 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 2 & 0 \end{bmatrix}, \mathcal{C} = \{123, 124, 34\}.$$

- Bases:  $\mathcal{B} = \{125, 135, 145, 235, 245\}$
- $P_M = \operatorname{conv}(11001, 10101, 10011, 01101, 01011)$ .

Interpretations:

o linear programming and greedy algorithms  $e^{2i}$  o moment polytope of the closure of a torus orbit in Gr(d, n)

**Theorem.** (GGMS) A 0-1 polytope is a matroid polytope if and only if all its edges are of the form  $e_i - e_i$ .

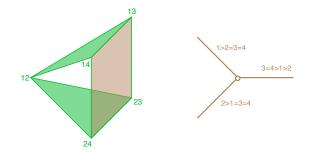
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#### A matroid is loopless if every element is in some basis.

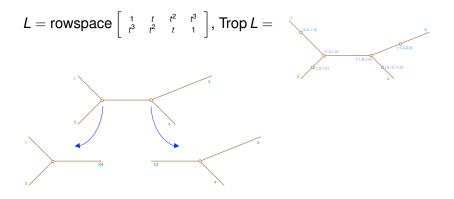
**Proposition.** (Sturmfels) Trop *M* is the fan dual to the loopless faces of  $P_M$ :

Trop 
$$M = \{ w \in \overline{\mathbb{R}}^{E} \mid \text{The } w \text{-max face of } P_{M} \text{ is loopless.} \}$$



## Tropical linear spaces, part 2: arbitrary coefficients.

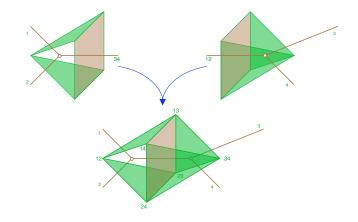
(from constant to arbitrary coeffs) Let *L* be a linear space with arbitrary coeffs and  $u \in \text{Trop } L$ . The local cone at *u* is  $\text{cone}_u \text{Trop } L = \text{Trop } L_u$ for a linear space  $L_u$  with constant coefficients.



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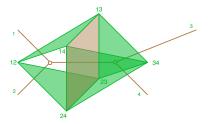
Each local cone is dual to (loopless part of) a matroid polytope. The matroid polytopes give a subdivision of the hypersimplex  $\Delta(n, d) = \operatorname{conv}(e_{i_1} + \dots + e_{i_d} | \{i_1, \dots, i_d\} \subseteq [n])$ (which is the matroid polytope of a generic vector space.)



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**Theorem.** (Speyer) A *d*-dimensional tropical linear space in *n*-space is dual to a matroid subdivision: a subdivision of  $\Delta(n, d)$  into matroid polytopes.



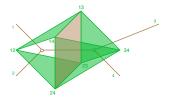
Tropical linear spaces:

constant coeffs.  $\mapsto$  matroids arbitrary coeffs.  $\mapsto$  matroid subdivisions

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Tropical linear spaces: constant  $\mapsto$  matroids arbitrary  $\mapsto$  matroid subdivs.



Other occurrences of matroid subdivisions:

• Kapranov's generalized Lie complexes.

Chow quot.  $Gr(d, n) / / \mathbb{T}$  - limits of torus orbit closures in Gr(d, n)

- Hacking, Keel, and Tevelev's very stable pairs. generalized hyperplane arrangements.
- Lafforgue's compactif of fine Schubert cells in Grassmannian.

Lafforgue:  $P_M$  indecomposable  $\rightarrow M$  has finitely many realizations.

Mnëv: Realization spaces of Ms can have arbitrarily bad singularities.

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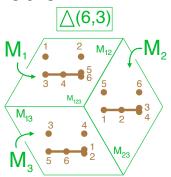
### Matroid subdivisions

How can a matroid polytope can be divided into smaller matroid polytopes?

(Construct? Verify? Prove impossibility?)

### One approach:

Find "measures" of a matroid M that behave like valuations on  $P_M$ .



A function f: Matroids  $\rightarrow G$  is a matroid valuation if for any subdivision of  $P_M$  into  $P_{M_1}, \ldots, P_{M_m}$  we have

$$f(M) = \sum_{i=1}^{m} (-1)^{\dim P_M - \dim P_{M_i}} f(M_i)$$
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### Some matroid valuations:

- Vol(*P<sub>M</sub>*) (.f.-Benedetti-Doker) (Lam-Postnikov, Stanley)
- $|P_M \cap \mathbb{Z}^n| =$  number of bases of M
- Ehrhart polynomial  $E_{P_M}(t) = |tP_M \cap \mathbb{Z}^n|$ . (.f. Doker)
- Tutte polynomial T<sub>M</sub>(x, y) (Speyer) (the mother of all (del.-contr.) matroid invariants)
- Quasisym function  $Q_M(x_1, \ldots, x_n)$  (Billera-Jia-Reiner)
- Invariants coming from K-theory of Gr(d, n) (Speyer)

**Theorem.** (Speyer) A *d*-dimensional tropical linear space in *n*-space has  $\leq \binom{n-i-1}{d-i} \binom{2n-d-1}{i-1} i$ -dimensional faces.

He uses a mysterious invariant  $g_M(t)$  from *K*-theory. What does it mean combinatorially? If we knew, we could prove:

**Conjecture.** This bound holds for any matroid subdivision.

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#### A very general matroid valuation.

Define V : Matroids  $\rightarrow$  *G* by:

$$V(M) = \sum_{\pi \in S_n} (\pi, r(\pi_1), r(\pi_1, \pi_2), \dots, r(\pi_1, \dots, \pi_n))$$

where G is the free abelian group generated by such symbols.

For 
$$L = \text{rowspace} \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 2 \end{bmatrix}$$
,  
 $V(M) = (1234, 1, 2, 2, 2) + \dots + (3421, 1, 1, 2, 2) + \dots$ 

**Theorem.** (.f. - Fink - Rincón, Derksen) *V* is a matroid valuation.

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$$V(M) = \sum_{\pi \in S_n} (\pi, (r(\pi_1), r(\pi_1, \pi_2), \dots, r(\pi_1, \dots, \pi_n)))$$

**Theorem.** (.f. - Fink - Rincón, Derksen) *V* is a matroid valuation.

Example. For the subdivision of  $\Delta(6,3)$   $V(M) = V(M_1) + V(M_2) + V(M_3)$   $-V(M_{12}) - V(M_{13}) - V(M_{23}) + V(M_{123})$ The summands with  $\pi = 132456$  give (writing (132456, 1, 2, 3, 3, 3, 3)  $\rightarrow$  (1, 2, 3, 3)) (1, 2, 3, 3) = (1, 2, 3, 3) + (1, 2, 2, 3) + (1, 2, 2, 2)-(1, 2, 2, 3) - (1, 2, 2, 2) - (1, 2, 2, 2) + (1, 2, 2, 2)

Idea of proof. Interpret each term like

(1,2,2,2) - (1,2,2,2) - (1,2,2,2) + (1,2,2,2) = 0as a reduced Euler characteristic of a contractible space.

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#### All matroid valuations.

$$V(M) = \sum_{\pi \in S_n} (\pi, (r(\pi_1), r(\pi_1, \pi_2), \ldots, r(\pi_1, \ldots, \pi_n)))$$

**Theorem.** (Derksen - Fink) *V* is a **universal** matroid valuation.

**Theorem.** (Derksen - Fink) Let v(n, r) be the rank of the abelian group of valuations on matroids of *n* elements and rank *r*. Then

$$\sum_{n=0}^{\infty} \sum_{r=0}^{\infty} v(n,r) \frac{x^{n-r} y^r}{n!} = \frac{x-y}{x e^{-x} - y e^{-y}}$$

So in principle we know how far we can push this approach. In practice there is more to do.

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#### summary

- We do not understand tropical varieties very well yet.
- We understand tropical linear spaces to some extent.
  - Locally, they "are" matroids.
  - Globally, they "are" matroid subdivisions.
  - We know many things about matroids, and a few things about matroid subdivisions.

### some future directions

- Understand matroid subdivisions better. Systematic construction? Mixed subdivisions? Secondary polytope?
- Generalize this story to subdivisions of Coxeter matroids and tropical homogeneous spaces (under certain hypotheses, to be determined). (.f. - Rincón - Velasco)
- What about general tropical varieties?

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# many thanks !!!



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