

# Multiplicity-free subgroups of reductive algebraic groups

Jonathan Brundan

## Abstract

We introduce the notion of a multiplicity-free subgroup of a reductive algebraic group in arbitrary characteristic. This concept already exists in the work of Krämer for compact connected Lie groups. We give a classification of reductive multiplicity-free subgroups, and as a consequence obtain a simple proof of a theorem of Kleshchev.

## 1 Introduction

Let  $k$  be an algebraically closed field of characteristic  $p \geq 0$ . If  $p = 0$ , it is well known that the restriction of any irreducible  $SL_n(k)$ -module to the natural subgroup  $GL_{n-1}(k)$  is multiplicity-free. The same is true for the restriction of an irreducible  $SO_n(k)$ -module to the subgroup  $SO_{n-1}(k)$ . In positive characteristic, these results are no longer true, but a recent result of Kleshchev [8, Theorem A] shows nonetheless that the socle and the head (which is isomorphic to the socle) of the restriction are both multiplicity-free. In our first theorem, we give a simple proof of this fact, which is quite different from Kleshchev's original proof.

**Theorem A.** *Let  $H < G$  be the simply connected cover of an entry in table 1. Then*

$$(1) \quad \dim \operatorname{Hom}_H(\Delta_H, \nabla_G) \leq 1$$

*for all Weyl modules  $\Delta_H$  for  $H$  and all coWeyl modules  $\nabla_G$  for  $G$ . Hence, the socle and head of  $\operatorname{res}_H^G L_G$  are multiplicity-free for every irreducible  $G$ -module  $L_G$ .*

Kleshchev actually proves a slightly weaker result, namely that for all pairs  $(G, H)$  in Theorem A,  $\dim \operatorname{Hom}_H(\Delta_H, L_G) \leq 1$  for all Weyl modules  $\Delta_H$  for  $H$  and all irreducible modules  $L_G$  for  $G$ . Kleshchev also shows how to deduce from this result in the case  $(G, H) = (SL_n(k), GL_{n-1}(k))$  (by applying a ‘‘Schur functor’’) that the restriction of any irreducible module for the symmetric group algebra  $k\mathfrak{S}_r$  to  $k\mathfrak{S}_{r-1}$  has multiplicity-free socle and head. In later work [9, 10], Kleshchev has described precisely

Table 1: Multiplicity-free subgroups

$G$	$H$
$SL_n(k) \quad n \geq 2$	$GL_{n-1}(k)$
$SO_n(k) \quad n \geq 4$	$SO_{n-1}(k)$
$SO_8(k)$	$Spin_7(k)$

which irreducible  $k\mathfrak{S}_{r-1}$ -modules appear in this multiplicity-free socle of the restriction to  $k\mathfrak{S}_{r-1}$  of an arbitrary irreducible  $k\mathfrak{S}_r$ -module. These results of Kleshchev have recently been extended to the corresponding Hecke algebras of type  $\mathbf{A}$  in [2].

We call a pair  $(G, H)$  of connected reductive groups with  $H \leq G$  a *multiplicity-free pair* if 1 holds for all  $\Delta_H, \nabla_G$ . The next results give a classification of multiplicity-free pairs. To do this, we first prove a characteristic-free analogue (Theorem 3.5) of a result due to Kimel'fel'd and Vinberg [7] in characteristic 0. In fact, only minor alterations to the original proof are needed in characteristic  $p$ . The following characterisation of multiplicity-free pairs is an easy consequence of Theorem 3.5.

**Theorem B.** *Let  $H < G$  be connected reductive algebraic groups. Let  $B, B_H$  be Borel subgroups of  $G, H$  respectively. Then,  $(G, H)$  is a multiplicity-free pair if and only if there is a dense  $(B, B_H)$ -double coset in  $G$ .*

We now describe the classification of multiplicity-free pairs. Theorem B implies that if  $\theta$  is an isogeny of  $G$ , then  $(G, H)$  is a multiplicity-free pair if and only if  $(\theta(G), \theta(H))$  is a multiplicity-free pair (see Corollary 3.8). So it is sufficient to classify multiplicity-free pairs up to isogenies of  $G$ . If  $(G_1, H_1)$  and  $(G_2, H_2)$  are multiplicity-free pairs then  $(G_1 \times G_2, H_1 \times H_2)$  is also a multiplicity-free pair, so that we only need to classify the ‘‘indecomposable’’ multiplicity-free pairs (see (4.3) for a precise definition). Finally, if  $R$  is the radical of  $G$ , then it is obvious that  $(G, H)$  is a multiplicity-free pair if and only if  $(G/R, HR/R)$  is a multiplicity-free pair. These reductions show that to classify multiplicity-free pairs, we need only classify the indecomposable multiplicity-free pairs  $(G, H)$  with  $G$  semisimple and simply connected.

**Theorem C.** *The indecomposable multiplicity-free pairs  $(G, H)$ , with  $G$  semisimple and simply connected, are precisely the following:*

- (i) *The simply connected cover of an entry in table 1.*
- (ii)  *$G = Sp_{2n}(k)$  and  $H = SO_{2n}(k)$  ( $p = 2$ ).*
- (iii)  *$G = SL_2(k) \times SL_2(k)$  and  $H$  is the diagonal subgroup  $\{(g, \theta(g)) \mid g \in SL_2(k)\}$ , where  $\theta : SL_2(k) \rightarrow SL_2(k)$  is a Frobenius morphism ( $p \neq 0$ ).*
- (iv) *Any pair  $(G, G)$  with  $G$  simple and simply connected.*

In characteristic 0, Theorem C follows from a result of Krämer [11] which classifies multiplicity-free pairs of compact Lie groups. The possibilities (ii), (iii) in Theorem C only occur in non-zero characteristic.

By definition, if  $(G, H)$  is a multiplicity-free pair, then for all Weyl modules  $\Delta_H$  of  $H$  and all coWeyl modules  $\nabla_G$  of  $G$ ,  $\text{Hom}_H(\Delta_H, \nabla_G) = \text{Ext}_H^0(\Delta_H, \nabla_G)$  is at most 1-dimensional. We next consider higher Ext functors. Call a reductive subgroup  $H < G$  a *good filtration subgroup* if  $\text{Ext}_H^i(\Delta_H, \nabla_G) = 0$  for all Weyl modules  $\Delta_H$  of  $H$  and all coWeyl modules  $\nabla_G$  of  $G$ , and all  $i \geq 1$ . This condition is equivalent (eg by [6, II.4.16]) to the property that every coWeyl module  $\nabla_G$  of  $G$  has an  $H$ -stable filtration

$$0 = \nabla_0 < \nabla_1 < \cdots < \nabla_n = \nabla_G$$

such that each factor  $\nabla_i/\nabla_{i-1}$  is a coWeyl module for  $H$ . Such a filtration is called a *good filtration*, and it is known ([6, II.4.16] again) that the number of factors  $\nabla_i/\nabla_{i-1}$  in the filtration isomorphic to a given coWeyl module  $\nabla_H$  is equal to  $\dim \text{Hom}_H(\Delta_H, \nabla_G)$ ,

where  $\Delta_H$  is the contravariant dual of  $\nabla_H$ . Thus, if  $(G, H)$  is a multiplicity-free pair such that  $H$  is also a good filtration subgroup of  $G$ , then in fact every coWeyl module  $\nabla_G$  of  $G$  has a multiplicity-free good filtration as an  $H$ -module.

Our final result shows that if  $(G, H)$  is a multiplicity-free pair,  $H$  is usually a good filtration subgroup. For the case  $(G, H) = (SL_n(k), GL_{n-1}(k))$ , this result goes back at least to James [4, 26.6], and is in fact a special case of the Donkin-Mathieu restriction theorem [3, 14] which shows that any Levi subgroup of a reductive algebraic group is a good filtration subgroup.

**Theorem D.** *Let  $H < G$  be the simply connected cover of an entry in table 1. Then,  $H$  is a good filtration subgroup of  $G$ , so that*

$$\mathrm{Ext}_H^i(\Delta_H, \nabla_G) = 0$$

for all Weyl modules  $\Delta_H$  for  $H$  and coWeyl modules  $\nabla_G$  for  $G$ , and all  $i \geq 1$ . Hence each  $\nabla_G$  also has a multiplicity-free good filtration as an  $H$ -module.

This result extends immediately (by [3, 4.2]) to cover any multiplicity-free pair  $(G, H)$  ‘‘defined over  $\mathbb{Z}$ ’’ – that is, no factor in a decomposition of  $(G, H)$  into indecomposable multiplicity-free pairs is of type (ii) or (iii) from Theorem C. It is easy to see that these are genuine exceptions: for example if  $E$  is the natural  $Sp_{2n}(k)$ -module and  $p = 2$ , then  $\bigwedge^2 E$  does not have a good filtration as an  $SO_{2n}(k)$ -module.

## 2 Proof of Theorem A

2.1. Throughout this note,  $G$  will denote a connected reductive algebraic group defined over  $k$ . By a  $G$ -module, we shall always mean a rational  $kG$ -module. Let us fix some notation regarding root systems, Weyl modules etc., following the conventions in Jantzen [6]. Let  $B$  be a Borel subgroup of  $G$  with unipotent radical  $U$ , and let  $T < B$  be a maximal torus. Let  $B^+$  be the opposite Borel subgroup to  $B$  relative to  $T$ , so that  $B \cap B^+ = T$ . The choice of  $T$  determines a root system  $\Phi \subset X(T)$ , where  $X(T)$  is the character group  $\mathrm{Hom}(T, k^\times)$ . For  $\alpha \in \Phi$ , let  $U_\alpha$  denote the corresponding  $T$ -root subgroup of  $G$ . Let  $\Pi = \{\alpha_1, \dots, \alpha_l\}$  be the unique base for  $\Phi$  such that  $B$  is the Borel subgroup generated by *negative* root subgroups. Let  $W = N_G(T)/T$  be the Weyl group of  $G$ , and fix a positive definite  $W$ -invariant symmetric bilinear form  $(\cdot, \cdot)$  on  $\mathbb{R} \otimes_{\mathbb{Z}} X(T)$ . For  $0 \neq \alpha \in \mathbb{R} \otimes_{\mathbb{Z}} X(T)$ ,  $\alpha^\vee$  denotes  $2\alpha/(\alpha, \alpha)$ . Let  $X_+(T) = \{\lambda \in X(T) \mid (\lambda, \alpha^\vee) \geq 0 \text{ for all } \alpha \in \Pi\}$  be the dominant weights of  $T$  (with respect to  $\Pi$ ). The choice of  $\Pi$  also fixes a set of simple reflections in  $W$ , so that we can talk about the longest element  $w_0$  of  $W$  relative to these simple reflections.

Given  $\lambda \in X(T)$ , let  $k_\lambda$  denote the corresponding 1-dimensional  $B$ -module. The coWeyl module  $\nabla_G(\lambda)$  is defined to be the induced module  $\mathrm{ind}_B^G(k_\lambda)$ , and is non-zero precisely when  $\lambda \in X_+(T)$  is dominant (see [6, II.2.6]). We will say a  $G$ -module is a high weight module of high weight  $\lambda$  if it is generated by a  $B^+$ -eigenvector  $v^+$  of weight  $\lambda \in X(T)$ . Weyl modules are high weight modules, and are ‘universal’ in the sense that any high weight module is a homomorphic image of some Weyl module [6, II.2.13]. For  $\lambda \in X_+(T)$ , let  $\Delta_G(\lambda)$  denote the corresponding Weyl module of high weight  $\lambda$ , and let  $L_G(\lambda)$  denote the simple head of  $\Delta_G(\lambda)$  (isomorphic to the simple socle of  $\nabla_G(\lambda)$ ). Finally, the dual  $\Delta_G(\lambda)^*$  is isomorphic to  $\nabla_G(\lambda^*)$ , where  $\lambda^* = -w_0\lambda$ , by [6, II.2.13].

2.2. The proof of Theorem A depends on the following elementary lemma:

**Lemma.** *Let  $(G, H)$  be a pair of connected reductive algebraic groups with  $H < G$ . Let  $B^+, B_H$  be Borel subgroups of  $G, H$  respectively and suppose that the double coset  $B_H g B^+$  is dense in  $G$  for some  $g \in G$ . Then,*

$$\dim \operatorname{Hom}_H(\Delta_H, \nabla_G) = \dim \operatorname{Hom}_H(\Delta_G, \nabla_H) \leq 1$$

for all Weyl modules  $\Delta_H = \nabla_H^*$  for  $H$  and all Weyl modules  $\Delta_G = \nabla_G^*$  for  $G$ . Hence, the socle and head of  $\operatorname{res}_H^G L_G$  are multiplicity-free for every irreducible  $G$ -module  $L_G$ .

*Proof.* Let  $\Delta_H, \nabla_H, \Delta_G, \nabla_G$  be as in the lemma. The Weyl module  $\Delta_G$  is generated by some  $B^+$ -eigenvector  $v^+$ . Since  $B_H g B^+$  is dense in  $G$ ,  $\Delta_G = k\text{-span}\{G.v^+\} = k\text{-span}\{B_H g B^+.v^+\} = k\text{-span}\{B_H.gv^+\}$ . Hence,  $\Delta_G$  is generated as a  $B_H$ -module by the vector  $gv^+$ . Now, by definition  $\nabla_H$  is an induced module  $\operatorname{ind}_{B_H}^H k_\lambda$  for some 1-dimensional  $B_H$ -module  $k_\lambda$ . Since any  $B_H$ -homomorphism  $\Delta_G \rightarrow k_\lambda$  is determined by its value on the generator  $gv^+$ , and  $k_\lambda$  is 1-dimensional, it is immediate that  $\operatorname{Hom}_{B_H}(\Delta_G, k_\lambda)$  is at most 1-dimensional. Applying Frobenius Reciprocity [6, I.3.4] and dualising, we deduce that

$$\dim \operatorname{Hom}_H(\Delta_G, \nabla_H) = \dim \operatorname{Hom}_H(\Delta_H, \nabla_G) \leq 1$$

proving the first part of the lemma.

It remains to show that the socle and head of  $\operatorname{res}_H^G L_G$  are multiplicity-free for every irreducible  $G$ -module  $L_G$ . For the socle, we need to compute  $\operatorname{Hom}_H(L_H, L_G)$  for an irreducible  $H$ -module  $L_H$ . By the universal property of Weyl modules, any homomorphism  $L_H \rightarrow L_G$  extends to a homomorphism  $\Delta_H \rightarrow \nabla_G$ , where  $\Delta_H$  is the Weyl module for  $H$  with head  $L_H$  and  $\nabla_G$  is the coWeyl module for  $G$  with socle  $L_G$ . Hence,  $\operatorname{Hom}_H(L_H, L_G)$  is also at most 1-dimensional, so that the socle of  $\operatorname{res}_H^G(L_G)$  is multiplicity-free. The same argument shows that the head is multiplicity-free, completing the proof.  $\square$

2.3. We shall shortly apply this lemma to prove that each entry in table 1 is a multiplicity-free pair. For later use, we shall actually construct a suitable element  $g \in G$  explicitly in each case in terms of root subgroups, viewing  $G$  as a Chevalley group. Let us briefly recall the construction of Chevalley groups, following Steinberg [18].

Let  $\mathfrak{g}$  be a semisimple Lie algebra over  $\mathbb{C}$  with Cartan subalgebra  $\mathfrak{h}$  and root system  $\Phi \subset \mathfrak{h}^*$ , and let  $\Pi = \{\alpha_1, \dots, \alpha_l\}$  be a base for  $\Phi$  as in (2.1). Fix a Chevalley basis  $\{X_\alpha, H_i \mid \alpha \in \Phi, 1 \leq i \leq l\}$  for  $\mathfrak{g}$  and let  $U_{\mathbb{Z}}$  be the corresponding Kostant  $\mathbb{Z}$ -form for the universal enveloping algebra  $U(\mathfrak{g})$  of  $\mathfrak{g}$ . Let  $\mathfrak{n}^+$  be the subalgebra generated by the  $X_\alpha$  with  $\alpha \in \Pi$ . Fix now some irreducible  $\mathfrak{g}$ -module  $\Delta_{\mathbb{C}}$  of dimension  $n$ , with a fixed high weight vector  $v^+$  annihilated by  $\mathfrak{n}^+$ . Set  $\Delta_{\mathbb{Z}} = U_{\mathbb{Z}}.v^+$ , an admissible lattice in  $\Delta_{\mathbb{C}}$ . Working in a basis of  $\Delta_{\mathbb{Z}}$ , we can identify a generator  $X_\alpha^i/i!$  of  $U_{\mathbb{Z}}$  ( $\alpha \in \Phi, i \geq 0$ ) with a matrix in  $M_n(\mathbb{Z})$ , via the representation  $\mathfrak{g} \rightarrow \mathfrak{gl}(\Delta_{\mathbb{C}})$ . Having done this, the series  $\exp(tX_\alpha)$ , where  $t$  is an indeterminate, has only finitely many non-zero terms, hence gives a well-defined element of  $SL_n(\mathbb{Z}[t])$ . Set  $\Delta_k = \Delta_{\mathbb{Z}} \otimes_{\mathbb{Z}} k$ ; then  $x_\alpha(t) = \exp(tX_\alpha)$  defines an automorphism of  $\Delta_k$  for every  $t \in k$ . The Chevalley group  $G = G_k$  is now

defined to be the subgroup of  $GL(\Delta_k)$  generated by  $\{x_\alpha(t) \mid \alpha \in \Phi, t \in k\}$ . It is a semisimple algebraic group over  $k$  of the same type as  $\mathfrak{g}$ , and  $\Delta_k$  is a Weyl module for  $G_k$ .

Now we consider the cases in table 1 in turn.

2.4. For  $G = SL_n(k)$ ,  $H = GL_{n-1}(k)$ , we make the following choices. Let  $T$  be the subgroup of all diagonal matrices, and  $B$  be the Borel subgroup of all lower triangular matrices, so that  $B^+$  consists of upper triangular matrices. If  $\varepsilon_i : T \rightarrow k^\times$  denotes the character  $\text{diag}(t_1, \dots, t_n) \mapsto t_i$ , we can write  $\Phi = \{\pm(\varepsilon_i - \varepsilon_j) \mid 1 \leq i < j \leq n\}$  and  $\Pi = \{\varepsilon_i - \varepsilon_{i+1} \mid 1 \leq i \leq n-1\}$ . Letting  $e_1, \dots, e_n$  denote the canonical basis for the natural  $G$ -module  $E$ , choose  $H$  to be the stabiliser of the decomposition  $E = \langle e_1, \dots, e_{n-1} \rangle \oplus \langle e_n \rangle$ , isomorphic to  $GL_{n-1}(k)$ . Let  $B_H = B \cap H$ , a Borel subgroup of  $H$ . For  $t \in k$ , let  $x_{\varepsilon_i - \varepsilon_j}(t) \in U_{\varepsilon_i - \varepsilon_j}$  denote the matrix  $I + te_{ij}$  (where  $e_{ij}$  is the matrix with a 1 in the  $ij$ -entry, zeros elsewhere). This is precisely the root group element  $x_{\varepsilon_i - \varepsilon_j}(t)$  from the Chevalley construction of (2.3), with the usual choice of Chevalley basis for  $\mathfrak{sl}_n(\mathbb{C})$ , ie  $X_{\varepsilon_i - \varepsilon_j} = e_{ij}$ .

**Lemma.** *With notation as above, the double coset  $B_H g B^+$  is dense in  $G$ , where*

$$g = x_{\varepsilon_n - \varepsilon_1}(1)x_{\varepsilon_n - \varepsilon_2}(1) \dots x_{\varepsilon_n - \varepsilon_{n-1}}(1).$$

Hence,  $(SL_n(k), GL_{n-1}(k))$  is a multiplicity-free pair.

*Proof.* In terms of the basis  $e_1, \dots, e_n$ ,  $g$  is the matrix

$$g = \left( \begin{array}{ccc|c} 1 & \dots & 0 & 0 \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & 1 & 0 \\ \hline 1 & \dots & 1 & 1 \end{array} \right)$$

Since  $\dim B_H g B^+ = \dim B_H + \dim B^+ - \dim g^{-1} B_H g \cap B^+ = \dim G - \dim g^{-1} B_H g \cap B^+$ , it is sufficient to show  $g^{-1} B_H g \cap B^+$  is finite. Suppose  $h \in g^{-1} B_H g \cap B^+$ ; as  $B^+$  consists of upper triangular matrices while  $g^{-1} B_H g$  is lower triangular, it follows that  $h = \text{diag}(h_1, \dots, h_n)$  is diagonal. Now,  $ghg^{-1}.e_i = h_i e_i + (h_i - h_n)e_n$ . As  $ghg^{-1} \in B_H$ ,  $h_i = h_n$  for  $1 \leq i \leq n-1$ . Hence,  $h$  lies in the centre  $Z(G)$ , which is finite.  $\square$

2.5. For  $G = SO_{2n+1}(k)$ ,  $H = SO_{2n}(k)$ , fix notation as follows. Let  $E$  be the natural  $(2n+1)$ -dimensional  $G$ -module with a  $G$ -invariant bilinear form  $(\cdot, \cdot)$ . Write elements of  $G$  as matrices with respect to an ordered basis  $e_1, \dots, e_n, e_0, e_{-n}, \dots, e_{-1}$  for  $E$  such that  $(e_i, e_j) = 0 (i \neq -j)$ ,  $(e_i, e_{-i}) = 1 (i \neq 0)$  and  $(e_0, e_0) = 2$ . Let  $B$  (resp.  $B^+$ ) be the lower (resp. upper) triangular matrices in  $G$ , a Borel subgroup of  $G$ , and  $T$  be the diagonal matrices, a maximal torus of  $G$ . Let  $\varepsilon_i : T \rightarrow k^\times$  be the character  $\text{diag}(t_1, \dots, t_n, 1, t_n^{-1}, \dots, t_1^{-1}) \mapsto t_i$ . In this notation, the root system  $\Phi$  can be written as  $\{\pm\varepsilon_i \pm \varepsilon_j, \pm\varepsilon_k \mid 1 \leq i < j \leq n, 1 \leq k \leq n\}$  and  $\Pi = \{\varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{n-1} - \varepsilon_n, \varepsilon_n\}$ .

Let  $\mathfrak{g} = \mathfrak{so}_{2n+1}(\mathbb{C})$  be the corresponding Lie algebra over  $\mathbb{C}$  with natural module  $E'$ ,  $\mathfrak{g}$ -invariant form  $(\cdot, \cdot)'$  and canonical basis  $e'_1, \dots, e'_n, e'_0, e'_{-n}, \dots, e'_{-1}$ , with properties as in the previous paragraph. Let  $E_{i,j} \in \mathfrak{g}$  denote the element such that  $E_{i,j}.e'_k = \delta_{jk}e'_i$  for all  $-n \leq i, j, k \leq n$ . Then, the elements  $X_\alpha (\alpha \in \Phi)$  in table 2 give a Chevalley

Table 2: A Chevalley basis for types  $B_l, D_l$ 

$\alpha$	$\varepsilon_i - \varepsilon_j (i < j)$	$\varepsilon_i + \varepsilon_j (i < j)$	$\varepsilon_i$
$X_\alpha$	$E_{i,j} - E_{-j,-i}$	$E_{j,-i} - E_{i,-j}$	$2E_{i,0} - E_{0,-i}$
$X_{-\alpha}$	$E_{j,i} - E_{-i,-j}$	$E_{-i,j} - E_{-j,i}$	$E_{0,i} - 2E_{-i,0}$

basis for  $\mathfrak{g}$  (this is the Chevalley basis used in [5, p38]). The Chevalley construction defines root group elements  $x_\alpha(t) \in G$  for  $t \in k$  corresponding to this Chevalley basis. We shall only need to use the elements  $x_{-\varepsilon_i}(t)$ , which act on the natural module  $E$  as follows:

$$\begin{aligned} x_{-\varepsilon_i}(t).e_i &= e_i + te_0 - t^2e_{-i}, \\ x_{-\varepsilon_i}(t).e_0 &= e_0 - 2te_{-i}, \end{aligned}$$

with all other basis elements fixed.

Now, let  $H$  be the subgroup  $SO_{2n}(k)$  generated by root groups  $U_\alpha$  for  $\alpha \in \{\pm\varepsilon_i \pm \varepsilon_j \mid 1 \leq i < j \leq n\}$ . We can describe  $H$  geometrically as the connected stabiliser in  $G$  of the direct sum decomposition  $E = \langle e_1, \dots, e_n, e_{-n}, \dots, e_{-1} \rangle \oplus \langle e_0 \rangle$ . Note finally that  $B_H = H \cap B$  is a Borel subgroup of  $H$ .

**Lemma.** *With notation as above, the double coset  $B_H g B^+$  is dense in  $G$ , where*

$$g = x_{-\varepsilon_1}(1)x_{-\varepsilon_2}(1)\dots x_{-\varepsilon_n}(1).$$

*Hence,  $(SO_{2n+1}(k), SO_{2n}(k))$  is a multiplicity-free pair.*

*Proof.* By the dimension argument of Lemma 2.4, we again just need to show that  $g^{-1}B_H g \cap B^+$  is finite. Suppose  $h \in g^{-1}B_H g \cap B^+$ ; as  $B^+$  consists of upper triangular matrices while  $g^{-1}B_H g$  is lower triangular, it follows as before that  $h$  is diagonal. Let  $h = \text{diag}(h_1, \dots, h_n, 1, h_n^{-1}, \dots, h_1^{-1})$ . Since  $ghg^{-1} \in B_H$ , it stabilises  $\langle e_1, \dots, e_n, e_{-1}, \dots, e_{-n} \rangle$ . The  $e_0$ -coefficient of  $ghg^{-1}.e_i$  is  $h_i - 1$ . Hence,  $h_i = 1$  for each  $i$ , and the intersection is trivial.  $\square$

2.6. For  $G = SO_{2n}(k), H = SO_{2n-1}(k)$ , we shall realise  $G$  as the subgroup  $SO_{2n}(k)$  constructed in (2.5), acting on the space  $E = \langle e_1, \dots, e_n, e_{-n}, \dots, e_{-1} \rangle$ . Write elements of  $G$  as matrices with respect to this ordered basis for  $E$ . Let  $T, B, B^+$  be the diagonal, lower triangular, upper triangular matrices in  $G$  respectively, and let  $\varepsilon_i, (\cdot, \cdot)$  be the restrictions of those defined in (2.5). We may write  $\Phi = \{\pm\varepsilon_i \pm \varepsilon_j \mid 1 \leq i < j \leq n\}$  and  $\Pi = \{\varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{n-1} - \varepsilon_n, \varepsilon_{n-1} + \varepsilon_n\}$ . Let  $\mathfrak{g} = \mathfrak{so}_{2n}(\mathbb{C})$ , with natural module  $E'$  and canonical basis  $e'_1, \dots, e'_n, e'_{-n}, \dots, e'_{-1}$  corresponding to  $E, e_i$  as before. Fix a Chevalley basis for  $\mathfrak{g}$  as a subset of the Chevalley basis constructed in (2.5), so that  $X_\alpha (\alpha \in \Phi)$  is as in table 2. This gives corresponding parametrisations  $x_\alpha(t)$  of the  $T$ -root subgroups of  $G$ ; in particular, for  $i < j$ ,  $x_{-\varepsilon_i - \varepsilon_j}(t)$  acts as:

$$\begin{aligned} x_{-\varepsilon_i - \varepsilon_j}(t).e_i &= e_i - te_{-j}, \\ x_{-\varepsilon_i - \varepsilon_j}(t).e_j &= e_j + te_{-i} \end{aligned}$$

with all other basis elements fixed. Let  $H$  be the connected stabiliser of  $\langle e_n + e_{-n} \rangle$ , isomorphic to  $SO_{2n-1}(k)$ , and note  $B_H = B \cap H$  is a Borel subgroup of  $H$ . In terms of root subgroups,  $H$  is generated by  $\{U_{\pm\alpha} \mid \alpha = \varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{n-2} - \varepsilon_{n-1}\}$  together with the elements  $\{x_{\varepsilon_{n-1} - \varepsilon_n}(t)x_{\varepsilon_{n-1} + \varepsilon_n}(t), x_{-\varepsilon_{n-1} + \varepsilon_n}(t)x_{-\varepsilon_{n-1} - \varepsilon_n}(t) \mid t \in k\}$ .

**Lemma.** *With notation as above, the double coset  $B_H g B^+$  is dense in  $G$ , where*

$$g = x_{-\varepsilon_1 - \varepsilon_n}(1) x_{-\varepsilon_2 - \varepsilon_n}(1) \cdots x_{-\varepsilon_{n-1} - \varepsilon_n}(1).$$

*Hence,  $(SO_{2n}(k), SO_{2n-1}(k))$  and  $(SO_8(k), Spin_7(k))$  are multiplicity-free pairs.*

*Proof.* Dimension implies that we just need to show that  $g^{-1} B_H g \cap B^+$  is finite. Suppose  $h \in g^{-1} B_H g \cap B^+$ . The same argument as in (2.5) shows that  $h$  is a diagonal matrix, say  $h = \text{diag}(h_1, \dots, h_n, h_n^{-1}, \dots, h_1^{-1})$ . Now,  $ghg^{-1} \in B_H$ , so  $ghg^{-1} \cdot (e_n + e_{-n}) \in \langle e_n + e_{-n} \rangle$ . A direct computation shows that  $ghg^{-1} \cdot (e_n + e_{-n}) = h_n e_n + (h_n - h_1^{-1}) e_{-1} + \cdots + (h_n - h_{n-1}^{-1}) e_{-(n-1)} + h_n^{-1} e_{-n}$ . Hence,  $h_1 = \cdots = h_n = \pm 1$  so  $g^{-1} B_H g \cap B^+$  is indeed finite.

This proves that  $(G, H) = (SO_{2n}(k), SO_{2n-1}(k))$  is a multiplicity-free pair. Now apply a triality graph automorphism (working in  $PSO_8(k)$  then taking pre-images since triality is not defined on  $SO_8$  if  $p \neq 2$ ) to deduce that there is also a dense  $(B_H, B^+)$ -double coset in  $G$  for the pair  $(G, H) = (SO_8(k), Spin_7(k))$ . Hence, this is also a multiplicity-free pair.  $\square$

Lemma 2.4-Lemma 2.6 complete the proof of Theorem A.

### 3 Proof of Theorem B

To classify multiplicity-free pairs, we first prove an analogue of a result of Kimel'fel'd and Vinberg [7, Theorem 1] in characteristic 0. In this section, we give a proof of this analogue (Theorem 3.5), following the original proof closely, and then deduce Theorem B from it. As always,  $G$  denotes a connected reductive algebraic group, with the conventions of (2.1).

3.1. Given an arbitrary closed subgroup  $H < G$ , we write  $X(H) = \text{Hom}(H, k^\times)$  for the character group of  $H$ . For any subset  $J \subset I = \{1, \dots, l\}$ , define the parabolic subgroup  $P = P_J$  to be the subgroup generated by  $B$  and the  $T$ -root subgroups  $U_{\alpha_j}$  for  $j \in J$ . We shall identify  $X(P)$  with a subgroup of  $X(T)$  via restriction. If  $H$  is an arbitrary closed subgroup of  $G$ , we define  $X^+(H) = \{\lambda \in X(H) \mid \text{ind}_H^G k_\lambda \neq 0\}$ . In particular, [6, II.2.6] implies  $X_+(T) = X^+(B)$ . More generally, if  $H = P = P_J$  is parabolic, the following statements are equivalent definitions of  $X^+(P)$ . Recall here from (2.1) that  $U$  denotes the unipotent radical of the negative Borel subgroup  $B$ .

- (i)  $X^+(P) = X(P) \cap X_+(T)$ .
- (ii)  $X^+(P) = \{\lambda \in X_+(T) \mid (\lambda, \alpha_j^\vee) = 0 \text{ for all } j \in J\}$ .
- (iii)  $X^+(P) = \{\lambda \in X_+(T) \mid \Delta_G(\lambda^*)^U \text{ is } P\text{-stable}\}$ .

We shall write  $k[G]$  (resp.  $k(G)$ ) for the ring of regular (resp. rational) functions on  $G$ . We regard  $k[G]$  as a  $G$ -module in two ways, via the left regular and the right regular representations, where  $(g.f)(h) = f(g^{-1}h)$  and  $(f.g)(h) = f(hg^{-1})$  for  $g, h \in G, f \in k[G]$  respectively. These extend uniquely to define actions of  $G$  on  $k(G)$ . If  $P, H$  are any closed subgroups of  $G$ , let  ${}^P k(G)^H$  be the subalgebra

$${}^P k(G)^H = \{f \in k(G) \mid p.f.h = f, \text{ for all } p \in P, h \in H\}.$$

We shall need Rosenlicht's Theorem [16], which implies that there is a dense  $(P, H)$ -double coset in  $G$  if and only if  ${}^P k(G)^H = k$ .

We begin with a basic algebraic lemma.

**3.2. Lemma.** *Let  $A$  be a  $k$ -algebra that is an integral domain. Let  $a, b \in A$  be linearly independent elements. Then, for  $n \in \mathbb{Z}^+$ , the elements  $a^n, a^{n-1}b, \dots, ab^{n-1}, b^n$  are also linearly independent.*

*Proof.* Let  $\sum_{i=0}^s \alpha_i a^i b^{n-i} = 0$  be a dependency with  $\alpha_s \neq 0$ . Let  $\beta_1, \dots, \beta_s$  be the roots of the polynomial  $\alpha_0 + \alpha_1 x + \dots + \alpha_s x^s$ . Then,  $\sum_{i=0}^s \alpha_i a^i b^{n-i} = \alpha_s b^{n-s} (a - \beta_1 b) \dots (a - \beta_s b) = 0$ . As  $A$  is an integral domain, this implies one of  $b, a - \beta_1 b, \dots, a - \beta_s b$  is zero, contradicting the fact that  $a, b$  are linearly independent.  $\square$

**3.3. Lemma.** *Let  $H$  be a closed subgroup of  $G$ . Let  $\lambda \in X^+(B), \mu \in X(H)$ . Write  $k_\mu$  for the corresponding 1-dimensional  $H$ -module. Suppose that  $\dim \text{Hom}_H(\Delta_G(\lambda), k_\mu) \geq 2$ . Then, for all  $n \in \mathbb{Z}^+$ ,  $\dim \text{Hom}_H(\Delta_G(n\lambda), k_{n\mu}) \geq n + 1$ .*

*Proof.* Let  $\Delta = \Delta_G(\lambda), \Delta_n = \Delta_G(n\lambda)$  and let  $v^+, w^+$  be highest weight vectors in  $\Delta, \Delta_n$  respectively. Let  $\theta_1, \theta_2$  be linearly independent elements of  $\text{Hom}_H(\Delta, k_\mu)$ . Let  $f_i \in k[G]$  be defined by  $f_i(g) = \theta_i(g.v^+)$  for  $i = 1, 2$ . By the proof of Frobenius reciprocity [6, I.3.4],  $f_1$  and  $f_2$  are linearly independent. Let  $\alpha : \Delta_n \rightarrow \bigotimes^n \Delta$  be the  $G$ -module homomorphism defined by the map  $w^+ \mapsto v^+ \otimes \dots \otimes v^+$  and the universal property of Weyl modules. Then, we can define  $\phi_i \in \text{Hom}_H(\Delta_n, k_{n\mu})$  for  $i = 0, \dots, n$  by composing  $\alpha$  with the map  $\bigotimes^n \Delta \rightarrow k_{n\mu}$  defined by  $v_1 \otimes \dots \otimes v_n \mapsto \theta_1(v_1) \otimes \dots \otimes \theta_1(v_i) \otimes \theta_2(v_{i+1}) \otimes \dots \otimes \theta_2(v_n)$ . We claim  $\phi_0, \dots, \phi_n$  are linearly independent, which will complete the proof. Let  $a_0 \phi_0 + \dots + a_n \phi_n = 0$  be a dependency. Then, for all  $g \in G$ ,

$$\sum_{i=0}^n a_i \phi_i(g.w^+) = \sum_{i=0}^n a_i \theta_1(g.v^+)^i \theta_2(g.v^+)^{n-i} = 0.$$

So, the element  $\sum_{i=0}^n a_i f_1^i f_2^{n-i} \in k[G]$  is zero. But, this implies  $a_i = 0$  for each  $i$  as the elements  $f_1^i f_2^{n-i}$  are linearly independent by the previous lemma.  $\square$

**3.4. Remarks.** (I) Let  $H < G$  be a connected reductive subgroup. An application of Frobenius reciprocity together with Lemma 3.3 (applied to a Borel subgroup of  $H$ ) shows that if  $\dim \text{Hom}_H(\Delta_H(\mu), \nabla_G(\lambda)) \geq 2$  then  $\dim \text{Hom}_H(\Delta_H(n\mu), \nabla_G(n\lambda)) \geq n+1$  for all  $n \in \mathbb{Z}^+$ . In [11], Krämer uses this to reduce the classification of multiplicity-free pairs  $(G, H)$  of compact Lie groups to the case that  $G$  is simply connected. We could do this now in our case, but prefer to wait until we can prove the more general Corollary 3.8.

(II) Krämer also introduces the notion of a *multiplicity-bounded* subgroup of a compact connected Lie group. The appropriate analogue in our setting would be a reductive subgroup  $H < G$  such that

$$\dim \text{Hom}_H(\Delta_H, \nabla_G) \leq N$$

for all Weyl modules  $\Delta_H$  for  $H$  and coWeyl modules  $\nabla_G$  for  $G$ , where  $N$  is some fixed constant independent of  $\Delta_H, \nabla_G$ . By the argument in (I), the concepts of multiplicity-bounded and multiplicity-free subgroups are equivalent.

**3.5. Theorem.** *Let  $H$  be an arbitrary closed subgroup of  $G$  and  $P = P_J$  be the parabolic subgroup of  $G$  corresponding to  $J \subset I$ . The following properties are equivalent.*



- (i)  $\dim \operatorname{Hom}_H(\Delta_G(\lambda^*), k_\mu) \leq 1$  for all  $\lambda \in X^+(P), \mu \in X^+(H)$ .
- (ii) There is a dense  $(P, H)$ -double coset in  $G$ .

*Proof.* (ii) $\Rightarrow$ (i). This is just the argument of Lemma 2.2. Recall  $\Delta_G(\lambda^*)$  is generated by any vector  $0 \neq v \in \Delta_G(\lambda^*)^U$ . By (3.1)(iii),  $v$  is a  $P$ -eigenvector. Hence, if  $HgP$  is dense in  $G$ ,  $\Delta_G(\lambda^*)$  is generated as an  $H$ -module by the vector  $gv$ . This immediately implies that  $\operatorname{Hom}_H(\Delta_G(\lambda^*), k_\mu)$  is at most 1-dimensional for any 1-dimensional  $H$ -module  $k_\mu$ .

(i) $\Rightarrow$ (ii). We first prove this for  $G$  semisimple and simply connected; then,  $k[G]$  is a unique factorisation domain by [15]. Suppose there is no dense  $(P, H)$ -double coset in  $G$ . Then, by Rosenlicht's Theorem, there is some non-constant  $f \in {}^P k(G)^H$ . Write  $f = f_1/f_2$  with  $f_1, f_2 \in k[G]$  coprime. Then, for  $p \in P, h \in H, p.f.h = f$ , so  $(p.f_1.h)f_2 = f_1(p.f_2.h)$ . As  $k[G]$  is a unique factorisation domain, this implies that  $p.f_i.h = \theta(p, h)f_i$  for each  $i$ , where  $\theta(p, h) \in k[G]$ . Moreover,  $\theta(p, h)$  is invertible, and the invertible elements in  $k[G]$  are constant. We thus obtain a morphism  $\theta : P \times H \rightarrow k^\times$ , and it is easily checked that this is a character of  $P \times H$ , so  $\theta(p, h) = \lambda(p)\mu(h)$  for characters  $\lambda, \mu$  of  $P, H$  respectively.

Now, let  $V_i$  be the left  $G$ -submodule of  $k[G]$  generated by  $f_i$ . Writing  $w_0 \in N_G(T)$  for any coset representative of  $w_0 \in W$ ,  $w_0 f_i$  is a  $B^+$ -high weight vector, since  $f_i$  is  $P$ -stable hence  $B$ -stable. So each  $V_i$  is a high weight module of high weight  $w_0 \lambda$ . Let  $\Delta = \Delta_G(w_0 \lambda) = \Delta_G(-\lambda^*)$ . By the universal property of Weyl modules, each  $V_i$  is a homomorphic image of  $\Delta$ . By definition of induced module, we can regard each  $f_i$  as an element of  $\operatorname{ind}_H^G k_\mu$ , so that each  $V_i$  is a submodule of  $\operatorname{ind}_H^G k_\mu$ . Thus, we can define two linearly independent homomorphisms  $\Delta \rightarrow \operatorname{ind}_H^G k_\mu$  by composing  $\Delta \rightarrow V_i$  with the inclusion  $V_i \hookrightarrow \operatorname{ind}_H^G k_\mu$ . Now apply Frobenius Reciprocity to show that

$$\dim \operatorname{Hom}_G(\Delta, \operatorname{ind}_H^G k_\mu) = \dim \operatorname{Hom}_H(\Delta, k_\mu) \geq 2.$$

Finally, observe that  $-\lambda \in X^+(P)$  by (3.1)(i) and  $\mu \in X^+(H)$  by definition. So, this contradicts (i).

Now we treat the general case. Suppose first that  $G$  is semisimple and satisfies (i). Let  $\tilde{G}$  be the simply connected cover of  $G$ . Write  $\tilde{H}, \tilde{P}$  for the connected pre-images of  $H, P$  respectively in  $\tilde{G}$ . We just need to show that  $(\tilde{G}, \tilde{H})$  also satisfies (i); then, the simply connected result will imply that there is a dense  $(\tilde{P}, \tilde{H})$ -double coset in  $\tilde{G}$ , hence that there is a dense  $(P, H)$ -double coset in  $G$  (this follows as morphisms of algebraic groups are open maps). So, suppose  $(\tilde{G}, \tilde{H})$  does not satisfy (i); then there exist  $\lambda \in X^+(\tilde{P}), \mu \in X(\tilde{H})$  such that  $\dim \operatorname{Hom}_{\tilde{H}}(\Delta_{\tilde{G}}(\lambda^*), k_\mu) \geq 2$ . Now, we can choose  $n \in \mathbb{Z}^+$  so that  $n\lambda, n\mu$  are characters in  $X^+(\tilde{P}), X(\tilde{H})$  respectively. Then, Lemma 3.3 implies  $\dim \operatorname{Hom}_H(\Delta_G(n\lambda^*), k_{n\mu}) \geq 2$ , a contradiction.

Finally, suppose the radical  $R$  of  $G$  is non-trivial and that  $(G, H)$  satisfies (i). Then clearly  $(G/R, HR/R)$  satisfies (i) so the result for semisimple  $G$  implies that there is a dense  $(P/R, HR/R)$ -double coset in  $G$ . Taking pre-images, we obtain a dense  $(P, HR)$ -double coset in  $G$ , hence a dense  $(P, H)$ -double coset since  $R < P$  is central.  $\square$

**3.6. Remarks.** (I) Popov's result [15] shows that if  $G$  is semisimple, but not necessarily simply connected, then the divisor class group of  $G$  is finite. Using this and a

straightforward argument involving divisors, Kimel'fel'd and Vinberg prove (i) $\Rightarrow$ (ii) without considering the simply connected case separately.

(II) A subgroup  $H < G$  is called *spherical* if there is a dense  $(H, B)$ -double coset in  $G$ . Spherical subgroups of reductive algebraic groups have been classified in characteristic 0 in [1, 12]. As far as I know, no such classification exists in arbitrary characteristic, even for the special case of reductive spherical subgroups of simple algebraic groups.

(III) Kimel'fel'd and Vinberg also prove that if  $H$  is a connected reductive subgroup and  $\{\alpha_j \mid j \in J\}$  is stable under  $-w_0$  (the longest element of  $W$ ), then (i) is equivalent to

$$(i)' \dim \operatorname{Hom}_H(\Delta_G(\lambda^*), k) = \dim \nabla_G(\lambda)^H \leq 1 \text{ for all } \lambda \in X^+(P).$$

This can be proved in arbitrary characteristic providing in addition some conjugate of  $H$  is normalised by  $\tau$ , an anti-automorphism of  $G$  (see eg [6, II.1.16]) such that  $\tau^2 = 1, \tau t = t$  for  $t \in T$  and  $\tau U_\alpha = U_{-\alpha}$  for  $\alpha \in \Phi$ . This extra condition holds for example if  $H$  is reductive and of maximal rank in  $G$ . Alternatively, in the special case that  $P = B$ , (i) and (i)' are equivalent providing  $H$  is a closed subgroup such that the field of rational functions  $k(G/H)$  is the field of fractions of the regular functions  $k[G/H]$  (this includes all reductive subgroups). The proof of this depends on the argument in [7, Theorem 2] (in fact, Kimel'fel'd and Vinberg prove a slightly weaker statement than required here, and consider characteristic 0 only, but the method is easily generalised).

3.7. Now we apply Theorem 3.5 to deduce Theorem B. For the remainder of the section, let  $H < G$  be a connected reductive subgroup. Fix a Borel subgroup  $B_H$  of  $H$ . At this point, we need to talk about root systems, Weyl groups etc. for  $H$  as well as for  $G$ . Rather than introduce more notation, let us just note that since  $\operatorname{ind}_H^G$  is exact [6, I.5.12],  $X^+(B_H) = \{\lambda \in X(B_H) \mid \operatorname{ind}_{B_H}^G k_\lambda \neq 0\}$  also equals  $\{\lambda \in X(B_H) \mid \operatorname{ind}_{B_H}^H k_\lambda \neq 0\}$ . Hence, by (3.1)(i) with  $P = B_H$ , we can regard  $X^+(B_H)$  as an intrinsically defined set of dominant weights for some root system of  $H$ , and set  $\nabla_H(\lambda) = \operatorname{ind}_{B_H}^H k_\lambda$  for  $\lambda \in X^+(B_H)$ .

**Theorem.** *Let  $P \geq B$  and  $P_H \geq B_H$  be parabolic subgroups of  $G, H$  respectively. The following properties are equivalent.*

- (i)  $\dim \operatorname{Hom}_H(\Delta_G(\lambda^*), \nabla_H(\mu)) \leq 1$  for all  $\lambda \in X^+(P), \mu \in X^+(P_H)$ .
- (ii) *There is a dense  $(P, P_H)$ -double coset in  $G$ .*

*Proof.* (i) $\Rightarrow$ (ii). For  $\mu \in X^+(P_H)$ ,  $\nabla_H(\mu) = \operatorname{ind}_{B_H}^H k_\mu = \operatorname{ind}_{P_H}^H k_\mu$ . Therefore, we can apply Frobenius reciprocity to (i) to deduce  $\dim \operatorname{Hom}_{P_H}(\Delta_G(\lambda^*), k_\mu) \leq 1$  for all  $\lambda \in X^+(P), \mu \in X^+(P_H)$ . Then, Theorem 3.5 implies there is a dense  $(P, P_H)$ -double coset in  $G$ .

(ii) $\Rightarrow$ (i). Suppose  $\dim \operatorname{Hom}_H(\Delta_G(\lambda^*), \nabla_H(\mu)) \geq 2$  for some  $\lambda \in X^+(P)$  and  $\mu \in X^+(P_H)$ . By Frobenius reciprocity again,  $\dim \operatorname{Hom}_{P_H}(\Delta_G(\lambda^*), k_\mu) \geq 2$ , so there is no dense  $(P, P_H)$ -double coset in  $G$  by Theorem 3.5.  $\square$

Theorem B from the introduction follows immediately from this, putting  $P = B$  and  $P_H = B_H$ . As an immediate corollary, we can show that it is sufficient to consider multiplicity-free pairs up to isogenies of  $G$ .

3.8. **Corollary.** *Let  $\theta$  be an isogeny of  $G$ . Then,  $(G, H)$  is a multiplicity-free pair if and only if  $(\theta(G), \theta(H))$  is a multiplicity-free pair*

Table 3: Reductive subgroups of dimension at least  $\frac{1}{2} \dim G$ 

$G$	$H$	$G$	$H$
$Sp(E), SO(E)$	$N_i$	$G_2$	$A_2, \tilde{A}_2(p=3)$
$SL(E)$	$Sp(E)$	$F_4$	$B_4, C_4(p=2)$
$Sp(E)(p=2)$	$SO(E)$	$E_6$	$F_4$
$SO_8(k)$	$Spin_7(k)$	$E_7$	$A_1D_6$
$SO_7(k)(p \neq 2), Sp_6(k)(p=2)$	$G_2$	$E_8$	$A_1E_7$

*Proof.* Let  $B, B_H$  be Borel subgroups of  $G, H$  respectively. By Theorem B, we need to show that there is a dense  $(B, B_H)$ -double coset in  $G$  if and only if there is a dense  $(\theta(B), \theta(B_H))$ -double coset in  $\theta(G)$ , which is immediate since morphisms of algebraic groups are open maps.  $\square$

## 4 Proof of Theorem C

Theorem B reduces the problem of classifying multiplicity-free pairs to group theory. We shall need to list all reductive subgroups  $H$  of simple algebraic groups  $G$  satisfying the dimension bound  $\dim B + \dim B_H \geq \dim G$  given in Theorem B. Note that we make a distinction between *reductive maximal* subgroups and *maximal reductive* subgroups of  $G$ : the former are maximal subgroups of  $G$ , whereas the latter may lie in some proper parabolic of  $G$ .

4.1. For  $G$  classical, we use the notation  $G = Cl(E)$  to indicate that  $G$  is a connected classical algebraic group with natural module  $E$ . When  $G = SO(E), Sp(E)$  let  $N_i$  denote the connected stabiliser in  $G$  of a non-degenerate subspace of  $E$  of dimension  $i$  with  $i \leq \frac{1}{2} \dim E$ ; and when  $(G, p) = (D_n, 2)$  let  $N_1$  denote the connected stabilizer of a nonsingular 1-space. When  $p = 3$ , we write  $\tilde{A}_2$  for the subgroup of  $G_2$  generated by the short root groups relative to some fixed maximal torus.

**Lemma.** *Let  $H$  be a reductive maximal connected subgroup of a simple algebraic group  $G$ , and suppose that  $\dim H \geq \frac{1}{2} \dim G$ . In the case  $G$  classical, suppose that  $G = Cl(E)$  and that  $(G, p) \neq (B_n, 2)$ . Then  $(G, H)$  are in table 3.*

*Proof.* For  $G$  classical, this is [13, Lemma 5.1]. For  $G$  exceptional, it follows from [17] by the argument in [13, Proposition 2.3].  $\square$

4.2. **Lemma.** *The multiplicity-free pairs  $(G, H)$  with  $G$  simple are precisely those in table 1, up to isogenies of  $G$ , together with the trivial case  $H = G$  of Theorem C(iv).*

*Proof.* We exclude the case  $(G, H) = (SO_4(k), SO_3(k))$  since here  $G$  is not simple. By Theorem B, there is a dense  $(B, B_H)$ -double coset in  $G$ , so  $\dim B + \dim B_H \geq \dim G$ . This implies  $\dim H \geq \dim G - \text{rank } G - \text{rank } H \geq \dim G - 2 \text{rank } G$ . We show that the only pairs  $(G, H)$  for which  $H$  satisfies this dimension bound are those in table

1 (up to isogenies of  $G$ ); we already know that all such pairs are multiplicity-free pairs by Theorem A and Corollary 3.8. Note that for each pair  $(G, H)$  in table 1,  $\dim B + \dim B_H$  exactly equals  $\dim G$ , so no proper reductive subgroup of  $H$  satisfies the dimension bound.

We consider two cases.

(i) Suppose  $H$  lies in no parabolic subgroup of  $G$ . Then,  $H$  lies in some reductive maximal connected subgroup  $\bar{H}$  of  $G$ . Consider first the possibilities for  $\bar{H}$ . The bound  $\dim \bar{H} \geq \dim G - 2 \operatorname{rank} G$  implies either  $(G, \bar{H}) = (SL_2(k), GL_1(k))$  (which is in table 1) or  $\dim \bar{H} \geq \frac{1}{2} \dim G$ . Hence,  $(G, \bar{H})$  are given by Lemma 4.1. Now, one checks that the only possibilities satisfying the stronger dimension bound  $\dim \bar{H} \geq \dim G - 2 \operatorname{rank} G$  are those in the conclusion. Hence,  $(G, \bar{H})$  is in table 1, and we deduce that  $H = \bar{H}$  by dimension.

(ii) Suppose  $H$  lies in a maximal parabolic subgroup  $P = LQ$  of  $G$ , with Levi factor  $L$  and unipotent radical  $Q$ . Let  $\bar{H} \leq L$  be such that  $\bar{H}Q/Q = HQ/Q$ . Then,  $H$  is isogenous to  $\bar{H}$  so  $L$  also satisfies the dimension bound  $\dim L \geq \dim G - 2 \operatorname{rank} G$ . Computing the possible dimensions of Levi subgroups, the only possibility is  $(G, L) = (SL_n(k), GL_{n-1}(k))$ . We deduce that  $\bar{H} = L$  by dimension, hence that  $H = GL_{n-1}(k)$ , which is in table 1.  $\square$

4.3. If  $G$  is a semisimple algebraic group and  $H < G$  is any closed subgroup, we call  $H$  a *decomposable subgroup* of  $G$  if  $G, H$  can be written as commuting products  $G = G_1G_2$ ,  $H = H_1H_2$  such that, for each  $i$ ,  $H_i \leq G_i$  and  $G_i \triangleleft G$  is a non-trivial semisimple group.

**Lemma.** *Let  $H < G$  be a connected reductive subgroup of a semisimple group  $G$ . Suppose  $H$  is a decomposable subgroup of  $G$ , so that  $G, H$  can be written as  $G = G_1G_2$ ,  $H = H_1H_2$  as above. Then,  $(G, H)$  is a multiplicity-free pair if and only if  $(G_1, H_1)$  and  $(G_2, H_2)$  are both multiplicity-free pairs.*

*Proof.* This is immediate from the definition since Weyl modules (resp. coWeyl modules) for  $G$  or  $H$  are just tensor products of Weyl modules (resp. coWeyl modules) for  $G_1$  and  $G_2$  or  $H_1$  and  $H_2$ .  $\square$

We define an *indecomposable* multiplicity-free pair to be a multiplicity-free pair  $(G, H)$  such that  $H$  is an indecomposable subgroup of  $G$ . As remarked in the introduction, to classify all multiplicity-free pairs, it is sufficient to classify the indecomposable multiplicity-free pairs  $(G, H)$  with  $G$  semisimple and simply connected, by Corollary 3.8 and the above Lemma.

The next Lemma is well known.

4.4. **Lemma.** *Let  $G = G_1 \dots G_n$  be a semisimple algebraic group written as a commuting product of simple subgroups  $G_i \triangleleft G$ , with  $n \geq 2$ . If  $H$  is a maximal connected reductive subgroup of  $G$ , then one of the following holds:*

- (i) *Some simple factor  $1 \neq G_i \triangleleft G$  is contained in  $H$ .*
- (ii)  *$H$  is diagonally embedded in  $G$  and  $n = 2$ .*

*Proof.* We may assume  $G$  is of adjoint type, so that it is a direct product  $G = G_1 \times \dots \times G_n$  with each  $G_i$  simple both as algebraic and abstract groups. We shall

write  $G^i = \prod_{j \neq i} G_j$ . Assume  $G_i \not\leq H$  for all  $i$ , which immediately implies that  $H$  lies in no parabolic subgroup of  $G$ . Suppose first that  $Z(H) \neq 1$ . Take  $z = z_1 \dots z_n \in Z(H)$  with  $z_i \in G_i$  and  $z_j \neq 1$  for some  $j$ . As  $H$  lies in no parabolic,  $H$  is maximal, so  $H = C_G(z)^0$ . This implies that  $H = C_{G_1}(z_1)^0 \dots C_{G_n}(z_n)^0$ . Maximality again forces  $z_i = 1$  for  $i \neq j$ , so that  $G_i \leq H$  for all  $i \neq j$ , contradicting our assumption.

So,  $Z(H) = 1$  and we can write  $H = H_1 \dots H_m$  as a direct product of simple, centreless factors  $H_i$ . By maximality,  $H = N_G(H_1)^0$ . We now show that the projection  $\pi_i : H_1 \rightarrow G_i$  is a bijection for each  $i$ . To see this, notice  $H_1 \cap G^i \leq H_1$ , so equals 1 or  $H_1$ , as  $H_1$  is simple as an abstract group. In the latter case,  $H_1 \leq G^i$  so  $G_i \leq H$ , contrary to assumption. So,  $H_1 \cap G^i = 1$  and  $\pi_i$  is injective for each  $i$ . Next, the normaliser  $N_{G/G^i}(H_1 G^i / G^i)$  contains  $H G^i / G^i$ . But this equals  $G / G^i$  by maximality of  $H$ , so  $H_1 G^i / G^i \leq G / G^i \cong G_i$ . Hence,  $H_1 G^i / G^i = G / G^i$  and  $\pi_i$  is surjective for each  $i$ , as required.

Now let  $\theta_i = \pi_i \circ \pi_1^{-1} : G_1 \rightarrow G_i$ . We have shown that each  $\theta_i$  is an isomorphism of abstract groups and that  $H_1 = \{g\theta_2(g) \dots \theta_n(g) \mid g \in G_1\}$ . But then  $H = N_G(H_1)^0 = H_1$ . Finally, by maximality, we must have that  $n = 2$  and (ii) holds.  $\square$

**4.5. Lemma.** *Let  $(G, H)$  be an indecomposable multiplicity-free pair, such that  $G$  is semisimple and simply connected, but not simple. Then,  $G = SL_2(k) \times SL_2(k)$  and  $H < G$  is a diagonally embedded  $SL_2(k)$ .*

*Proof.* First suppose that  $H$  is a maximal connected reductive subgroup of  $G$ . Then Lemma 4.4 implies that  $G = G_1 \times G_2$  is a product of two isomorphic simple factors and  $H$  is a diagonally embedded subgroup. Now a routine dimension check shows that the only possibility satisfying the bound  $\dim B + \dim B_H \geq \dim G$  is as in the conclusion.

Now suppose for a contradiction that the lemma is false. Then, we can find a counterexample  $(G, H)$ , such that the lemma holds for all indecomposable multiplicity-free pairs  $(G_1, H_1)$  such that either  $\dim G_1 < \dim G$  or  $\dim G_1 = \dim G$  and  $\dim H_1 > \dim H$ . By the previous paragraph,  $H$  is not a maximal connected reductive subgroup of  $G$ , so we may embed  $H < K < G$  where  $K$  is a connected reductive subgroup of  $G$  and  $H$  is a maximal connected reductive subgroup of  $K$ . Choose Borel subgroups  $B_H < B_K < B$  for  $H, K, G$  respectively. Obviously, there is a dense  $(B, B_K)$ -double coset in  $G$ , so  $(G, K)$  is a multiplicity-free pair. By Lemma 4.3, we may write  $G, K$  as direct products  $G = G_1 \times \dots \times G_n$ ,  $K = K_1 \times \dots \times K_n$ , such that each pair  $(G_i, K_i)$  is an indecomposable multiplicity-free pair. Suppose first that  $n = 1$ . Then, the minimality hypothesis on  $(G, H)$  implies that  $G = SL_2(k) \times SL_2(k)$  and  $K$  is a diagonally embedded  $SL_2(k)$ . But for this pair  $\dim B + \dim B_K$  is exactly equal to  $\dim G$  and  $B_H$  is a proper subgroup of  $B_K$ . This gives a contradiction, since  $(G, H)$  is a multiplicity-free pair.

So  $n > 1$ . Let  $Z$  be the centre of  $K$  and, for any subgroup  $L \leq K$ , denote its image in  $KZ/Z$  by  $L'$ . The hypothesis on  $(G, H)$  implies that the lemma holds for each  $(G_i, K_i)$ . So each  $K'_i$  is simple and in particular  $H'$  is an indecomposable subgroup of  $K'$ , since  $H$  is an indecomposable subgroup of  $G$ . Now Lemma 4.4 implies that  $K'$  is semisimple of length 2 and  $H'$  is diagonally embedded in  $K'$ , as in the first paragraph. The number of factors  $(G_i, K_i)$  isomorphic to  $(SL_n(k), GL_{n-1}(k))$  is just  $\dim Z$ , and for these pairs  $\dim G_i > \dim K_i + 1$ . Hence,  $\dim B_H + \dim B \geq \dim G > \dim K + \dim Z$ , and this implies that  $\dim B'_H + \dim B'_K > \dim K'$ . But now the dimension check from the first paragraph gives a contradiction.  $\square$

Theorem C follows immediately from Lemma 4.2 and Lemma 4.5.

## 5 Proof of Theorem D

Let  $(G, H)$  be as in Theorem D, and fix notation as in Lemmas (2.4)-(2.6).

5.1. To prove Theorem D, it is sufficient to show that  $\nabla_G(\lambda_i)$  has a good filtration as an  $H$ -module for each *fundamental* dominant weight  $\lambda_i \in X(T)$ . This follows by combining the Donkin-Mathieu tensor product theorem (in fact [3, Theorem 4.3.1] is sufficient for our purposes) with the argument of [3, 3.5.4]. We shall prove the equivalent dual statement, that  $\Delta_G(\lambda_i)$  has a *Weyl filtration* as an  $H$ -module, for each fundamental dominant weight  $\lambda_i$ .

Let us first consider  $G = B_l$  or  $D_l$  and the fundamental weights  $\lambda_l$  (if  $G = B_l$  or  $D_l$ ) and  $\lambda_{l-1}$  ( $G = D_l$  only). Spin modules for  $B_l, D_l$  are irreducible Weyl modules in all characteristics. If  $(G, H) = (D_l, B_{l-1})$  then  $\Delta_G(\lambda_{l-1})$  and  $\Delta_G(\lambda_l)$  are spin modules, and restrict to the spin module  $\Delta_H(\lambda'_{l-1})$  for  $H$ . If  $(G, H) = (B_l, D_l)$  then  $\Delta_G(\lambda_l)$  is a spin module and restricts to a direct sum  $\Delta_H(\lambda'_l) \oplus \Delta_H(\lambda'_{l-1})$ . Hence  $\Delta_G(\lambda_i)$  has a Weyl filtration on restriction to  $H$  in each case as required.

It remains to consider the Weyl modules  $\Delta_G(\lambda_i)$  for  $1 \leq i \leq l$  (if  $G = A_l$ ),  $1 \leq i \leq l-1$  (if  $G = B_l$ ) or  $1 \leq i \leq l-2$  (if  $G = D_l$ ). Recall from (2.4)-(2.6) that  $\mathfrak{g}$  is the corresponding simple Lie algebra over  $\mathbb{C}$ , with natural module  $E'$ . The corresponding irreducible  $\mathfrak{g}$ -module is just the exterior power  $\bigwedge^i E'$  in each case. Now, if  $G = SL_n(k)$  or an orthogonal group in characteristic different from 2,  $\bigwedge^i E'$  remains irreducible on reduction mod  $p$  by [5, p43, Lemma 11], so that  $\Delta_G(\lambda_i) \cong \bigwedge^i E$ . In each case, an easy argument shows that  $\text{res}_H^G \bigwedge^i E \cong \bigwedge^i E_0 \oplus \bigwedge^{i-1} E_0$ , where  $E_0$  is the natural module for  $H$ ; these summands are Weyl modules for  $H$ . This completes the proof of Theorem D, unless  $G$  is an orthogonal group with  $p = 2$ .

To include characteristic 2, we now give a short direct argument exploiting the element  $g \in G$  in the dense  $(B_H, B^+)$ -double coset constructed in Lemmas (2.4)-(2.6). In fact, this argument is valid in all characteristics, and does not depend on the result from [5] used in the previous paragraph. The same argument can also be given for  $G = SL_n(k)$ .

5.2. **Lemma.** *Let  $(G, H) = (SO_{2n}(k), SO_{2n-1}(k))$  or  $(SO_{2n+1}(k), SO_{2n}(k))$  with  $1 \leq i \leq n-2$  or  $1 \leq i \leq n-1$  respectively. Then,  $\Delta_G(\lambda_i)$  has a Weyl filtration as an  $H$ -module.*

*Proof.* Let  $\Delta_{\mathbb{C}} = \bigwedge^i E'$  be the corresponding irreducible  $\mathfrak{g}$ -module over  $\mathbb{C}$ , with notation as in (2.5) or (2.6). Then,  $v' = e'_1 \wedge \cdots \wedge e'_i$  is a high weight vector of  $E'$ , and  $\Delta_{\mathbb{Z}} = U_{\mathbb{Z}}.v'$  is an admissible lattice in  $\Delta_{\mathbb{C}}$ . The Chevalley construction of (2.3) implies that  $\Delta = \Delta_{\mathbb{Z}} \otimes_{\mathbb{Z}} k$  is the Weyl module  $\Delta_G(\lambda_i)$ , with high weight vector  $v = v' \otimes 1$ . Let  $T_H = T \cap H$ , a maximal torus of  $H$ , and  $B_H^+$  be the corresponding opposite Borel subgroup to  $B_H$ . Fix a dominance ordering on  $X(T_H)$  so that  $B_H$  is the Borel subgroup generated by negative  $T_H$ -root subgroups.

Now recall the element  $g \in G$  from Lemmas (2.5) and (2.6). Since  $B_H g B^+$  is dense in  $G$ ,  $\Delta$  is generated as a  $B_H$ -module by the vector  $w = g.v$ . There is a canonical way to construct a filtration of  $\Delta$  using this vector  $w$  which we now describe. Write  $w$  as a

sum  $\sum w_\mu$  corresponding to the  $T_H$ -weight space decomposition of  $\Delta$ . Set  $\Delta_0 = \{0\}$ , and inductively define  $\Delta_i$  as follows. Pick  $\mu_i \in X(T_H)$  maximal with respect to the dominance order on  $X(T_H)$  such that  $w_{\mu_i} \notin \Delta_{i-1}$ . Let  $\Delta_i$  be the  $B_H$ -submodule generated by  $w_{\mu_i}$  and  $\Delta_{i-1}$ . This defines an ascending filtration of  $B_H$ -modules.

$$\{0\} = \Delta_0 < \Delta_1 < \cdots < \Delta_m.$$

The construction implies that  $w \in \Delta_m$ , so that by density,  $\Delta_m = \Delta$ . The choice of  $\mu_i$  immediately implies that  $w_{\mu_i} + \Delta_{i-1}$  is a  $B_H^+$ -eigenvector in  $\Delta/\Delta_{i-1}$  of weight  $\mu_i$ . Hence, in fact  $\Delta_i/\Delta_{i-1}$  is an  $H$ -module, and the filtration is a filtration of  $H$ -modules. Each  $\Delta_i/\Delta_{i-1}$  is a high weight module of high weight  $\mu_i$ , so an image of the Weyl module  $\Delta_H(\mu_i)$ , and each  $\mu_i$  must be dominant.

Now work in  $\Delta_{\mathbb{Z}}$  to compute the  $\mu_i$  occurring in the filtration. Since  $g \in G$  was constructed as a product of root group elements of the form  $x_\alpha(1)$ , there is a corresponding element  $u \in U_{\mathbb{Z}}$  such that  $(u.v') \otimes 1 = g.(v' \otimes 1)$ . Let  $w' = u.v'$ . A short calculation using table 2 shows that  $w'$  is the vector

$$(e'_1 - e'_{-n}) \wedge \cdots \wedge (e'_i - e'_{-n})$$

if  $G = D_n$ , or

$$(e'_1 + e'_0 - e'_{-1}) \wedge (e'_2 + e'_0 - e'_{-2} - 2e'_{-1}) \wedge \cdots \wedge (e'_i + e'_0 - e'_{-i} - 2e'_{-1} - \cdots - 2e'_{-(i-1)})$$

if  $G = B_n$ . It is straightforward to expand the above expressions and compute the vectors  $w'_\lambda$  occurring in decomposition  $w' = \sum w'_\lambda$  corresponding to the weight space decomposition of  $\Delta_{\mathbb{Z}}$ . Let  $d'$  denote the vector  $e'_{-n}$  if  $G = D_n$  or  $e'_0$  if  $G = B_n$ . Then, in both cases, the only vectors  $w'_\lambda$  with  $\lambda$  dominant are the vectors  $e'_1 \wedge \cdots \wedge e'_i$  and  $\pm e'_1 \wedge \cdots \wedge e'_{i-1} \wedge d'$  in  $\Delta_{\mathbb{Z}}$ . Moreover, every vector  $w_\lambda \in \Delta$  in the decomposition  $w = \sum w_\lambda$  is the image of some vector  $w'_\lambda \in \Delta_{\mathbb{Z}}$ . This argument shows that the only possibilities for the high weights  $\mu_i$  occurring in the above filtration are  $\varepsilon_1 + \cdots + \varepsilon_i$  and  $\varepsilon_1 + \cdots + \varepsilon_{i-1}$ .

Now, dimension implies that both of these high weights must indeed occur, and that each factor  $\Delta_i/\Delta_{i-1}$ , which is a high weight module of weight  $\mu_i$  by construction, must in fact be the Weyl module  $\Delta_H(\mu_i)$ . Thus, the filtration is a Weyl filtration, completing the proof.  $\square$

To complete the proof of Theorem D, it just remains to observe that it also holds for the pair  $(G, H) = (SO_8(k), Spin_7(k))$ , by applying a triality automorphism to the pair  $(SO_8(k), SO_7(k))$  as in Lemma 2.6.

## Acknowledgements

I would like to thank Professors Martin Liebeck, Stephen Donkin and Gordan James for useful discussions during the course of this work.

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*Department of Mathematics, Imperial College, London, SW7 2BZ.*

e-mail: `j.brundan@ic.ac.uk`